



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David J. O'Reilly, et al.

Appl. No.: 09/977,064

Filed: October 11, 2001

For: **Interactive Correlation of Compound  
Information and Genomic Information**

Art Unit: 1631

Confirmation No. 1787

Examiner: Mary K. Zeman

Atty. Docket: 03916.0034.NPUS00

**Information Disclosure Statement**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

| 02/13/2006 BABRAHA1 00000080 083038 09977064  
| 01 FC:1806 180.00 DA

Sir:

Listed on accompanying Form PTO-1449 are four documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

In view of the fact that the claims of the instant application have been allowed, Applicants provide the following comments regarding why it believes the four documents disclosed herein are not relevant to patentability:

1. **WO 00/50889** discloses a data processing system and method for managing and presenting information derived from a differential expression of genetic information which can be used to model a physiological response of biological cells. WO 00/50889 does not disclose or suggest methods or systems for analyzing chemical genomic data that comprise user selection of a product associated with product information from a database.

2. **WO 99/58720** discloses methods for quantifying the relatedness of a first and second gene expression profile that are useful for quantifying the relatedness of environmental conditions upon a cell. WO 99/58720 does not disclose or suggest

methods or systems for analyzing chemical genomic data that comprise user selection of a product associated with product information from a database.

3. **Weinstein *et al.***, disclose the use of a large database of compound screening data and information intensive methods for identifying new clinically active agents for the treatment of cancer. Weinstein *et al.*, does not disclose or suggest methods or systems for analyzing chemical genomic data that comprise user selection of a product associated with product information from a database.

4. **Sorace *et al.***, disclose a database storing functional information regarding the changes of cellular gene expression information regarding the changes of cellular gene expression associated with various stimuli, and supports queries linking cell types, expressed genes and inducers. Sorace *et al.*, does not disclose or suggest methods or systems for analyzing chemical genomic data that comprise user selection of a product associated with product information from a database.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- ☐ 1. This Information Disclosure Statement is being filed;
- ☐ a. Within three months of the U.S. filing date of a national application other than a continued prosecution application under §1.53(d);
  - ☐ b. Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
  - ☐ c. Before the mailing date of a first Office Action on the merits;
  - ☐ d. Before the mailing of a first Office Action after filing of a request for continued examination under § 1.115.

No statement under 37 C.F.R. § 1.97(e) or fee is required.

or;

- ☐ 2. This Information Disclosure Statement is being filed after the period specified in paragraph 1(a)-1(d) above, but before the mailing date of a Final Rejection or Notice of Allowance, or action that otherwise closes prosecution in the application, and
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1), or
  - ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2), or
  - ☐ c. Attached is our Check in the amount of \$ \_\_\_\_\_ - in payment of the fee under 37 C.F.R. § 1.17(p).

- 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Attached is our Check in the amount of \$ 180.00 in payment of the fee under 37 C.F.R. § 1.17(p), and
  - a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1), or
  - b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- 4. Relevance of the non-English language document(s) is discussed in the present specification.
- 5. The document(s) was/were cited in a corresponding foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- 7. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is

respectfully requested to consider the cited application and the art cited therein during examination.

- ☐ 8. Copies of the documents were cited by or submitted to the Office in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

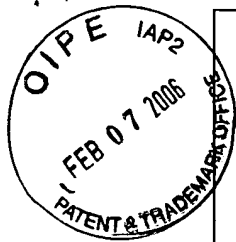
The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number **03916.0034.NPUS00**.

Respectfully submitted,



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<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary) <b>PTO FORM 1449</b>		ATTY. DOCKET NO. 03916.0034.NPUS00	APPLICATION NO. 09/977,064
		APPLICANT REILLY, David	
		FILING DATE October 11, 2001	GROUP 1631

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME\	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	1.							
	2.							
	3.							
	4.							
FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	5.	WO 99/58720	May 11, 1999	PCT				
	6.	WO 00/50889	February 22, 2000	PCT				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)								
	7.	Weinstein, J.N., et al., "An Information-Intensive Approach to the Molecular Pharmacology of Cancer" <i>Science</i> 275:343-349 (1997)						
	8.	Sorace, J., et al. " Functional Bioinformatics: the Cellular Response Database" <i>Frontiers In Bioscience</i> 2:31-36, (1997)						
	9.							
	10.							
	11.							
	12.							
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.